



11-03-06

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PTO/SB/21 (09-06)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/623,933

Filing Date

July 21, 2003

First Named Inventor

James C. Smith

Art Unit

3727

Examiner Name

JAMES N. Smalley

Attorney Docket Number

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<b>Remarks</b> EQ 098560188 US PTO/SB/22 Time Extension \$510.00 Amendment Reply - 25 PAGES Petition for Time Extension - 1 PAGE DRAWING REPLACEMENT sheet - 8/11 and 9/11 DOCUMENT DISCLOSURE - 390080 AND 348943	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application		
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name			
Signature			
Printed name	JAMES C. Smith		
Date	Oct. 31, 2006	Reg. No.	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	James C. Smith	Date	Oct 31 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DISCLOSURE DOCUMENT NO.

390080

FILING FEE \$10.00

RETAINED FOR 2 YEARS

THIS IS NOT A PATENT APPLICATION

Commissioner of Patents and Trademarks  
Washington, District of Columbia 20231

Date JAN 8 1995

Request for Participation in Disclosure Document Program:

Disclosure of JAMES C. SMITH

Your Name

Entitled SCREW CAP W/ SEALING WIPING DIAPHRAGM #2

Title of Disclosure

Sir:

Attached is a disclosure of my above-entitled invention (consisting of 6 sheets of written description and 3 separate drawings or photos), a ☒ check, a stamped, addressed return envelope, and a duplicate copy of this letter.

\$10.00

It is respectfully requested that this disclosure be accepted and retained for two years (or longer if I later refer to it in a paper filed in a patent application) under the Disclosure Document Program.

Very respectfully,

James C. Smith

Your signature

JAMES C. SMITH

Print name

336 HARDER ROAD

Address

HAYWARD, CA. 94544

Enclosures:

As stated above



Date FEB 24 1994

Commissioner of Patents and Trademarks  
Washington, District of Columbia 20231

Request for Participation in Disclosure Document Program:

Disclosure of JAMES C. SMITH  
Your Name(s)

Entitled SCREW - SNAP CAP WITH SEALING/WIPING DIAPHRAGM  
Title of Disclosure

Sir:

Attached is a disclosure of the above-entitled invention (consisting of 9 sheets of written description and 4 separate drawings or photos), a check for \$ 10 a stamped, addressed return envelope, and a duplicate copy of this letter.

It is respectfully requested that this disclosure be accepted and retained for two years (or longer if it is later referred to in a paper filed in a patent application) under the Disclosure Document Program and that the enclosed duplicate of this letter be date stamped, numbered, and returned in the envelope also enclosed.

The undersigned understands that (1) this disclosure document is neither a patent application nor a substitute for one, (2) its receipt date will not become the effective filing date of a later-filed patent application, (3) it will be retained for two years and then destroyed unless it is referred to in a patent application, (4) this two-year retention period is not a "grace period" during which a patent application can be filed without loss of benefits, (5) in addition to this document, proof of diligence in building and testing the invention, and/or filing a patent application on the invention, may be vital in case of an interference, and in other situations, and (6) if such building and testing is done, signed and dated records of such should additionally be made and these should be witnessed and dated by disinterested individuals (not the PTO).

Very respectfully,

James C. Smith  
Signature of Inventor

N/A  
Signature of Joint Inventor

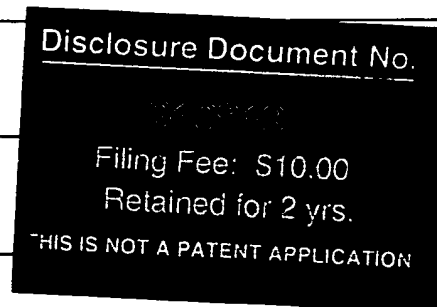
JAMES C. SMITH  
c/o(Print name)

Print Name

336 HARDER ROAD  
Address

Address

HAYWARD CA 94544



Enclosures:

As stated above